

COMPLIANCE CONFERENCE


WEDNESDAY 15 JULY 2026 – 09:15 – 16:45

Marsham Court Hotel, 3 Russell Cotes Road, Bournemouth BH1 3AB

COST	
£140	Solicitor Member/Associate Member/Trainee Solicitor
£185	Non-Member of BDLS
CPD	
6 Hours	
BOOKING	
Please book online at: www.bournemouthlaw.com/bournemouth-district-law-society-lectures	
Booking Ref: 692	
Solicitor Competence: A and B (SRA Statement of solicitor competence Solicitors Regulation Authority)	
HOTEL PARKING	
Marsham Court Hotel, 3 Russell Cotes Road, Bournemouth BH1 3AB	
Parking: This is at the rear of the hotel (postcode BH1 3AU) No parking charge. On arrival, please inform hotel reception of your car registration number and, when you leave, ask for the exit code to raise the exit barrier. Alternatively, there is parking at Berry Court in St Peter's Road, Central Car Park in Upper Hinton Road or street parking around the hotel.	

Thanks to our sponsors:



08:45	Registration and refreshments
09:15	<p>INTRODUCTION FROM THE CHAIR Mark Kiteley, BDLS Past President</p> <p>Mark is a Director of Rawlins Davy Reeves Solicitors in Bournemouth, specialising in regulation and compliance. He qualified as a solicitor more than 20 years ago and, prior to selling his interest in the firm, enjoyed the position of managing director at Kiteleys Solicitors. He is a former member of the Law Society Professional Indemnity Insurance Panel, part of the Regulatory Affairs Board, and prior to his appointment as President was Honorary Secretary of the Bournemouth and District Law Society between 2012 and 2018. He has promoted and chaired our previous compliance conferences, spoken at Bournemouth and Solent Universities on routes to the profession, and led inhouse training on GDPR, AML, and cyber security.</p> 
09:15 – 10:15 1 hour	<p>THE GROWING IMPORTANCE OF ETHICS IN THE LEGAL WORKPLACE Joe Bryant, Beale & Co</p> <ul style="list-style-type: none"> • How to regulate culture? • Analysing the LSB's policy statement around hierarchy of duties • How can firms ensure ethical obligations are balanced against regulatory responsibilities? • The SRA's approach and the suggestion of mandatory ethics training

		<p>Joe has been handling claims against the legal profession since 1998, and is pre-eminent in this area, being band 1 rated in all directories and a well-known figure in the industry.</p>
<p>10:15 – 11:15 1 hour</p>	<p>WHAT IT MEANS TO BE A COLP AND COFA IN 2026 Jonathon Bray and Ed Marshall, JBL Compliance</p> <ul style="list-style-type: none"> • how the compliance officer roles have evolved in recent years • the biggest practical challenges facing Compliance Officers in today’s legal market • where firms commonly fall short on governance, financial controls and regulatory compliance • how to balance compliance expectations with commercial reality • practical tips for making the roles more effective and less daunting <p>Jonathon Bray formerly practised employment and litigation law. It was whilst doing this that he began to realise that there was more he could do to help firms in ensuring that they were compliant and ready for the changing legal landscape. It is from here that he went on to set up JBL Compliance. Jonathon is a recognised ABS expert and COLP/COFA adviser. He works with law firms on a variety of risk management projects and ABS applications.</p>   <p>Ed Marshall, Senior Risk and Compliance Specialist, has worked in the regulatory sector for over ten years. With a background in management, training and investigations, Ed has regulated the police and solicitors during his career. He was an Investigation Officer at the SRA, giving him a unique angle on legal regulation.</p>	
<p>11:15 – 11:30</p>	<p>Refreshments</p>	
<p>11:30 – 12:15 45 minutes</p>	<p>THE DEEPPAKE INTERVIEW: BREAKING IN FROM THE INSIDE Jake Moore</p> <p>Jake lifts the lid on the darker side of artificial intelligence, taking you deep into the criminal underworld powered by today’s most advanced technology. In the name of research, he used AI driven face-swapping tools to pass a live video job online interview under a completely false identity. Not once, but repeatedly. Through these real-world experiments, Jake reveals how powerful AI tools are already being weaponised by criminals to infiltrate organisations from the inside, bypassing traditional security and exploiting human trust.</p> <p>But it doesn’t stop there. With the same tools now widely available, Jake demonstrates how AI can clone voices, generate convincing documents and create fake identities in seconds, giving cybercriminals everything they need to scale</p>	

	<p>deception like never before. Nothing is real anymore. The question is, would you spot it?</p> <p>Jake Moore is the Global Cybersecurity Advisor for ESET, Europe’s number one cyber security company. He helps businesses and employees understand cybersecurity and the risks involved to remain better protected. Jake previously worked for a UK Police force for 14 years investigating computer crime in the Digital Forensics Unit and Cybercrime Unit.</p> 
<p>12.15 – 13:00 45 minutes</p>	<p>PRESENTATION BY Sarah Rapson, CEO, SRA</p>
<p>13:00 – 13:45</p>	<p>Lunch</p>
<p>13:45 – 14:45 1 hour</p>	<p>BUILDING A COMPLIANCE FRAMEWORK THAT WORKS: PREPARING FOR THE SHIFT IN SUPERVISION Amy Bell, Teal Compliance</p> <p>With increasing discussion around the potential move of supervision to the FCA, law firms need to start thinking differently about how their compliance frameworks operate in practice.</p> <p>This talk will explore what “compliance that works” really looks like, beyond policies sitting on a shelf. It will focus on how firms can build a framework that is not only well-documented, but actively tested, evidenced, and capable of standing up to more rigorous regulatory scrutiny. In particular, the talk will look at:</p> <ul style="list-style-type: none"> • The implications of a shift towards FCA-style supervision • Why traditional compliance approaches may fall short • The importance of testing policies and procedures in real scenarios • How to create feedback loops that identify issues before regulators do • Practical steps to embed a culture of continuous improvement <p>Amy is the founder of Teal Compliance and has worked in the legal sector for over two decades, as both a solicitor and a compliance consultant. Amy is passionate about helping law firms adapt to the changing legal landscape. Over the course of her career, Amy became more and more aware of the struggles that small firms were facing with compliance, so she launched Teal Compliance Limited. Since then, she has assembled a team of experts who offer practical and effective compliance support to law firms, through consultancy services, training, software and the implementation of solutions for compliance that works.</p> 

14:45 – 15:30
45 minutes

PSYCHOLOGICAL SAFETY AND RISK MANAGEMENT IN LEGAL TEAMS

Warren Munson, Evolve

Show how creating a culture of trust and openness reduces the likelihood of overlooked errors, missed disclosures, or regulatory breaches.

Warren Munson is a respected founder and strategic business advisor, known for helping business owners and leaders secure growth, transformation and successful exits. In 2004, he founded Inspire, a multi award-winning business and tax advisory firm, which he later sold to Azets in 2022. Following the successful integration, Warren now focuses on Evolve, the strategic advisory business dedicated to supporting companies to start, grow and exit with confidence, as well as delivering leadership and management development programmes.



Warren is also the host of the Evolve to Succeed Podcast and author of Evolve to Succeed – The Entrepreneur’s Journey, where he shares insights drawn from his extensive experience working with a wide range of business leaders.

His combination of personal entrepreneurial experience and practical advisory expertise gives him a distinctive and highly relatable perspective on what it takes to succeed, both professionally and personally.

15:30 – 15:45

Refreshments

15:45 – 16:45
1 hour

SRA ACCOUNT RULES – YOUR ANNUAL UPDATE AND REMINDER

Janet Taylor FCA - Consultant, PKF Francis Clark

Complacency is not an option. As ever this session is aimed at keeping you up to date and on the alert to key risks and common problems. We will discuss:

- **Any current SRA consultations concerning client money and Rule changes;**
- **Perennial tricky areas including:**
 - **Updates and reminders on the banking facilities prohibition;**
 - **Residual client balances;**
 - **Controls when operating a client’s own account as signatory;**
 - **Other problems highlighted by reporting accountants – including maintaining your breaches register**
- **Any other emerging issues with SRA Accounts Rules in SDT/SRA rulings**

Janet joined PKF Francis Clark as part of the firm’s specialist legal team in 2018 as a director and now works as a consultant to the firm, and has been an owner in Taylor Mowbray LLP for 18 years. Prior to that she spent over 10 years with another top 20 accounting firm heading up their specialist solicitors’ group. Over the last 20+ years she has regularly presented training on the SRA Accounts Rules to a wide range of accounting and law firms through in-house and public seminars. Clients have included several major international and national law firms as well as their reporting accountants. Janet is the current Subject Matter Expert for the ICAEW’s Solicitors Community Advisory Group, and co-author of the Law Society’s Solicitors and the Accounts Rules - A Compliance Handbook.



Please book online at:

<https://www.bournemouthlaw.com/bournemouth-district-law-society-lectures>

Course Notes: For environmental reasons, BDLS will no longer be providing printed course notes at lectures. Lecture notes will be emailed to delegates in advance for either printing or accessing via their laptop or alternative device on the day.

Payment for lectures: Please be aware that payment must be received at the office **before** the lecture takes place. Course bookings will only be confirmed upon payment. All payments are to be paid by BACS. No refunds within 7 days of the course.